



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit:

Unknown

Kurt Stark et al.

Examiner:

Unknown

Serial No.:

10/598,650

Filed:

September 7, 2006

For:

METHOD FOR PRODUCTION OF MIXED POLYMERS

**CONTAINING SILICON** 

Attorney Docket No.: WAS 0802 PUSA

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Mail Stop Amendment Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

# CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1456 on:

3/22/2007

William G. Conger
Name of Person Signing

Signature

Atty Dkt No. WAS 0802 PUSA

S/N: 10/598,650

Accompanying the Information Disclosure Statement is the statement of Dr. Michael Schuderer describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

Kurt Stark et al.

By: <u>Wieei -</u>

William G. Conger Reg. No. 31,209

Attorney/Agent for Applicant

Date: March 22, 2007

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1000 Town Center, 22nd Floor Southfield, MI 48075-1238

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PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known						
Application Number	10/598,650					
Filing Date	09/07/2006					
First Named Inventor	Kurt Stark et al.					
Art Unit						
Examiner Name						
Attornov Docket Number	MAR DROS DLICA					

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 6602949 B2	08/05/2003	Furukawa et al.	
		<sup>US-</sup> 5686531 A	11/11/1997	Engelke et al.	
		<sup>US-</sup> 5618879 A	04/08/1997	Cavivenc et al.	
		<sup>US-</sup> 3985824 A	10/12/1976	Lederer et al.	
		<sup>US-</sup> 3758425 A	0911/1973	Jastrow et al.	
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		FORE	IGN PATENT DOCL	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	_6
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	
		JP 05-009248 A	01/19/1993	Toagosei Chem.		
	· ·	JP 05-140255 A	06/08/1993	Toagosei Chem.		
		EP 1308468 A	05/07/2003	Wacker Polymer Syst.		
		EP 0810243 A	12/03/1997	Toyo Ink		
		EP 0771826 A2	05/07/1997	Dow Corning		
		EP 0614924 A	09/14/1994	Showa Highpolymer		

Examiner	_	Date	
Signature		Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	INFORMATION DISCLOSURE			First Named Inventor	Kurt Stark et al.			
ST	ATEMENT	BY AP	PLICANT	Art Unit				
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			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	_ T°
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Examiner	 Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (08-03)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	Substitute for form 1449/PTO			e required to respond to a collection of information unless it contains a valid OMB control number.  Complete if Known		
012381411				Application Number	10/598,650	
INFC	PRMATION	DIS	CLOSURE	Filing Date	09/07/2006	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Kurt Stark et al.	
	(Use as many she	ate ac n	onoccand	Art Unit		
	(Use as many sne	ets as 11	ecessary)	Examiner Name		
Sheet	3	of	3	Attorney Docket Number	WAS 0802 PUSA	

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Patent Abstract of Japan corresponding to JP 05-009248	
		Patent Abstract of Japan corresponding to JP 05-140255	
		Patbase Abstract corresponding to EP 1 308 468 A	
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Examiner	-	Date	
Signature		Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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In re application of : KURT STARK ET AL. :

Serial Number : Group Art Unit:

Filed:

For: METHOD FOR PRODUCTION OF MIXED POLYMERS CONTAINING

SILICON

## Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

#### Sir or Madam:

I, Michael Schuderer, associated with the preparation and prosecution of the above-identified application, residing at Thurneyssenstraße 22, 80687 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application and the corresponding International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

US-B2 6,602,949 is already in English language.

US-A 5,686,531 is already in English language.

US-A 5,618,879 is already in English language.

US-A 3,985,824 is already in English language.

US-A 3,758,425 is already in English language.

For JP 05-009248 an English Patent Abstract of Japan is enclosed.

For JP 05140255 an English Patent Abstract of Japan is enclosed.

For EP-A 1308468 an English Patbase Abstract is enclosed.

EP-A 0810243 is already in English language.

EP-A 0771826 is already in English language.

EP-A 0614924 is already in English language.

EP-A 0352339 is already in English language.

Signed this Z Lday of September, 2006.

Dr. Michael Schuderer